



Charges for Hong Kong Securities Trading 香港股票交易收費 (2021-06-22)

Items 項目	Commission & Service Charges 佣金及收費	Remarks 備註
HK Stocks Trading Services 港股證券交易服務		
On Gross Trade Amount 按成交金額計算		
Commission 佣金	0.15%	Min.最低 : HK\$100.00 (Phone 電話) Min.最低 : HK\$30.00 (Internet 網上)
Stamp Duty 印花稅	0.1%	Min.最低: HK\$1.00 * Rounded up to the nearest dollar 不足 HK\$1 亦作 HK\$1 計算
SFC Transaction Levy 證監會交易徵費	0.0027%	
HKEX Trading Fee 交易所徵費	0.005%	
CCASS Stock Settlement Fee 中央結算系統交收費	0.01% (including 0.002% collected by HK Clearing 當中 0.002%代香港結算收取)	Min.最低 : HK\$5.00 Max.最高 : HK\$200.00
Trading Tariff 交易系統使用費	Free 免費	
HK Stocks Scrip Handling and Settlement-related Services 處理港股實物股票及交收服務		
Physical Scrip Deposit 存入實物股票	HK\$5.00 / Transfer Deed 每張轉手紙	Stock will be hold for 12 workings days after deposit 股票存入 12 個工作天後才能沽出
Physical Scrip Withdrawal 提取實物股票	HK\$5.00 / Lot* 每手*	Min.最低 : HK\$100.00/stock 每隻股票
CCASS Settlement Instruction (SI) 中央結算系統的交收指示 (SI)	<u>Withdrawal instruction 提取指示</u> 0.01% of previous market value 以上日收市價計 <u>Deposit Instruction 存入指示 -Free 免費</u>	Min.最低 : HK\$200.00/ stock 每隻股票
CCASS Investor Settlement Instructions (ISI) 投資者中央結算系統的交收指示 (ISI)	<u>Withdrawal instruction 提取指示</u> HK\$20.00 / stock 每隻股票 <u>Deposit Instruction 存入指示 -Free 免費</u>	
Compulsory Share Buy-back Fee 強制性補購股份費	1.Default Fee 失責罰金 : 0.50% (Previous market value,未能如期交付交易市值) 2. Handling Charges 手續費 : \$200, (Applicable on Early / Compulsory Buy-in) (同時適用於提早或強制性補購)	
Nominee Services & Corporate Actions 代理人服務及企業行動		
Scrip Fee 過戶登記費	HK\$2.50 / Lot* 每手*	
Cash / Scrip Dividend Collection Fee 代收現金 / 股票股息費	0.5% of Dividend collected 股息總額	Min.最低 : HK\$30.00 Max.最高 : HK\$20,000.00
Collection Fee of Bonus Share 代收紅股	HK\$ 30.00 / Share 每隻股票	
Collection Fee of Rights 代收供股權	Free 免費	
CCASS Corporate Action Fee 中央結算企業行動費	HK\$2.00/ Lot* 每手*	Max.最高: \$20,000.00
Rights/Excess Rights Subscription /Open Offer 供股/額外供股/公開發售	HK\$ 100.00	(+) CCASS Corporate Action Fee 中央結算企業行動費
CBBC Residual Value/ Warrant / Covered Warrant Conversion 代收牛熊證剩餘價值/行使認股證/備兌認股證	HK\$ 100.00	(+) CCASS Corporate Action Fee 中央結算企業行動費
Cash Offer/ Privatisation 現金收購 / 私有化	Collection and Payment 代收代支	(+) CCASS Corporate Action Fee 中央結算企業行動費 (+) Ad Valorem Stamp Duty 政府從價印花稅 : 0.1% (on Gross Consideration 按金額計算)
Share Consolidation / Subdivision 股份合併/分拆費	Free 免費	
Administrative Fee for attending general meeting (Max: 2 persons) 出席股東大會行政費 (最多 2 位)	HK\$50.00 / attendee 每位出席人士	
Administrative Fee for General Meeting Voting Instruction 股東大會投票指示 行政費	HK\$50.00/Instruction 每項指示	

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Grey Market Services 暗盤交易服務		
Commission 佣金	0.30%	Min.最低:HK\$ 150.00
Stamp Duty 印花稅	0.1%	Min.最低: HK\$1.00 (Rounded up to the nearest dollar 不足 HK\$1 亦作 HK\$1 計算)
SFC Transaction Levy 證監會交易徵費	0.0027%	
HKEX Trading Fee 交易所徵費	0.005%	
CASS Stock Settlement Fee 中央結算系統交收費	0.006% (including 0.002% collected by HK Clearing 當中 0.002%代香港結算收取)	Min.最低 : HK\$5.00 Max.最高 : HK\$200.00
Account Maintenance 賬戶服務		
Custody Fee 託管費	Free 免費	
Stock Balance or Account Confirmation Fee 證券結餘或賬戶證明書費	HK\$200.00 / Certification 每份證明書	
Fee for Re-issue of Daily & Monthly Statements 補發日結單及月結單費	Free 免費 (For Latest 3 Months 最近三個月)	
Services fee for Mailing Statement 郵寄結單服務費	HK\$50.00 / month 每月	
Stock Segregated Account Fee (With CCASS Statement Service) 獨立股份戶 口服務費(附寄中央結算系統結單服務)	HK\$ 120.00 / Year 每年	
Overdue Interest & Other Charges 利息結欠及其他收費		
Custody Fee 託管費	Free 免費	
Cash Account Overdue Interest 現金戶口結欠利息	Prime Rate 最優惠利率 + 5%	
CHATS Payment Service Fee 本地電子付款指示收費	HK\$250.00 / Instruction 每項指示	
Telegraphic Transfer Service Fee 電匯收費	HK\$400.00 / Instruction 每項指示	
Returned Cheque Charge 退票收費	HK\$ 150.00	
Stop Cheque Payment Instruction charge 終止支票付款費	HK\$ 150.00 / Cheque 每張支票	
Fee for Dividend Claim 代客追討股息費	HK\$500.00 (Per each Stock / Per each Dividend Distribution) (每隻股票 / 每一期派息)	***Plus HK Clearing charges 另加結算所收費
EIPO Subscription Service Fee 電子認購新股服務費	HK\$ 100.00	

Remarks 備註:

- The above charges are subject to revision from time to time without prior notice.
以上收費僅供參考，本公司可隨時修訂，恕不另行通知。
- Our company reserves the right to collect handling fees for account operation
本公司保留權利徵收閣下賬戶在本公司運作之費用。
- Odd Lot is counted as 1 Board Lot. 碎股亦視作一手
- Out-of Packet Expenses will be paid by client. 若有其他費用發生，均需由客戶付款。